WO9106036

Title: COATED PARTICLES AND METHODS OF COATING PARTICLES

Abstract:

Methods of coating nanoparticles (30) with one or more layers of various types of materials such as metals (30b), polymers (30c) and halides (30d), and nanoparticles formed by those methods. A first embodiment of the invention is a method of forming silver halide (12b) coated dielectric nanoparticles (12a), and a second embodiment is a method of forming metal (14b) coated dielectric nanoparticles (14a). A first nanoparticle according to this invention comprises a dielectric nano-core and a silver halide coating; and a second nanoparticle comprises a dielectric nano-core, a metal shell over that core and a silver halide (14c) coating over the metal shell. A further nanoparticle of this invention comprises a dielectric nano-core (16a), a silver halide shell (16b) over the core and a metal shell (16c) over the silver halide; and a still further nanoparticle comprises a dielectric core (20a), a first shell of a first metal (20b) and a second shell (20c) of a second metal.



(30) Priority data:

423,163

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁵ :		(11) International Publication Number:	WO 91/06036
G03C 1/00, 1/72, B05D 5/12 B05D 7/00, B32B 5/16, 9/00	A1	(43) International Publication Date:	2 May 1991 (02.05.91)
B32B 15/02	1		

US

(21) International Application Number: PCT/US90/06009

(22) International Filing Date: 18 October 1990 (18.10.90)

(71) Applicant: RESEARCH CORPORATION TECHNOLO-GIES, INC. [US/US]; 6840 East Broadway Bouylevard, Tucson, AZ 85710 (US).

(72) Inventors: PATEL, Ramesh, C.; 80 Elm Street, Potsdam, NY 13676 (US). HILL, Steven, C.; RR4 Box 350, Potsdam, NY 13676 (US).

(74) Agent: SCOTT, Anthony, C.; Scully, Scott, Murphy and Presser, 400 Garden City Plaza, Garden City, NY 11530 (US).

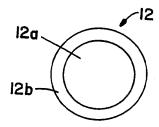
(81) Designated States: AT (European patent), BE (European patent), CA, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, LU (European patent), NL (European patent), SE (European patent).

Published

With international search report.

(54) Title: COATED PARTICLES AND METHODS OF COATING PARTICLES

18 October 1989 (18.10.89)



(57) Abstract

Methods of coating nanoparticles (30) with one or more layers of various types of materials such as metals (30b), polymers (30c) and halides (30d), and nanoparticles formed by those methods. A first embodiment of the invention is a method of forming silver halide (12b) coated dielectric nanoparticles (12a), and a second embodiment is a method of forming metal (14b) coated dielectric nanoparticles (14a). A first nanoparticle according to this invention comprises a dielectric nano-core and a silver halide coating; and a second nanoparticle comprises a dielectric nano-core, a metal shell over that core and a silver halide (14c) coating over the metal shell. A further nanoparticle of this invention comprises a dielectric nano-core (16a), a silver halide shell (16b) over the core and a metal shell (16c) over the silver halide; and a still further nanoparticle comprises a dielectric core (20a), a first shell of a first metal (20b) and a second shell (20c) of a second metal.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	ES	Spain	MG	Madagascar
AU	Australia	FI	Finland	ML	Mali
BB	Barbados	FR	France	MR	Mauritania
8E	Belgium	GA	Gabon	MW	Malawi
BF	Burkina Faso	GB	United Kingdom	NL	Netherlands
BG	Bulgaria	GR	Greece	NO	Norway
BJ	Benin	HU	Hungary	PL.	Poland
BR	Brazil	IT	Italy	RO	Romania
CA	Canada	JР	Japan	SD	Sudan
CF	Central African Republic	KP	Democratic People's Republic	SE	Sweden
CG	Congo		of Korca	SN	Senegal
CH	Switzerland	KR	Republic of Korea	SU	Soviet Union
CI	Côte d'Ivoire	LI	Liechtenstein	TD	Chad
CM	Cameroon	LK	Sri Lanka	TG	Togo
DE	Germany	LU	Luxembourg .	US	United States of America
DIK	Denmark	MC	Monaco		

WO 91/06036 PCT/US90/06009

-1-

COATED PARTICLES AND METHODS OF COATING PARTICLES

Background of the Invention

1

This invention generally relates to methods of coating particles; and, more specifically, to methods that are well suited for coating nanoparticles and to the particles formed by those methods.

Nanoparticles are particles having a size on the order of magnitude of 10⁻⁷m. While such particles are known, per se, heretofore, these particles have not been extensively used. For several reasons, though, it is believed that nanoparticles will have very important commercial applications in the future. For example, in some cases, such as in photographic emulsions, nanoparticles coated with a given material may be suitable replacements for, and cost less than, larger, solid particles made from that given material. In addition, nanoparticles can be constructed so as to exhibit an enhanced plasmon resonance effect, which is the enhancement of electromagnetic fields in and around those particles, and these particles may be used in numerous specific methods and devices to enhance photo or optical processes that occur in those methods and devices. In other applications, nanoparticles may be very useful as nucleation centers, which may be used to form larger particles having specific constructions.

SUMMARY OF THE INVENTION

An object of this invention is to provide new, coated particles, and new methods for coating particles.

Another object of this invention is to coat

nanoparticles with one or more layers of various types of materials such as metals, polymers and halides.

A further object of the present invention is to provide a nanoparticle, and a method that may be used to form a nanoparticle, having a dielectric core coated with metal halide, such as silver halide.

A further object of this invention is to provide a method that may be used to coat dielectric nanoparticles with a layer of a metal.

Another object of the present invention is to provide a nanoparticle, and a method that may be used to form a nanoparticle, having a dielectric core covered with one shell of metal and another shell of a metal halide, e.g., silver halide.

These and other objects are attained with various methods for coating particles and the particles formed by those methods. A first embodiment of this invention is a method for forming metal halide, e.g., silver halide, coated dielectric particles, comprising the steps of providing a source of metal ions and a source of halide ions in a liquid carrier having dispersed therein charged colloidal dielectric particles, and reacting the halide ions with the metal ions in the presence of the dielectric particles to form coatings of metal halide over individual dielectric particles. second embodiment of this invention is a method of forming metal coated dielectric nanoparticles, comprising the steps of providing a source of metal ions, a source of an alcohol and a source of a ketone in an anaerobic liquid carrier having dispersed therein charged dielectric particles, and exposing the liquid carrier to light to cause the metal ions

15

20

25

to be reduced and form metal coatings over individual dielectric particles.

In accordance with a third embodiment of this invention, metal coated dielectric nanoparticles are formed by a method comprising the steps of forming metal halide coated nanoparticles, and exposing the coated nanoparticles to light and a reducing agent to change the metal halide to metal to form metal coatings over individual nanoparticles. In a fourth method according to the present invention, metal coated dielectric nanoparticles may also be formed by a 10 method comprising the steps of providing a source of metal ions, a source of halide ions and an election hole scavenger in a liquid carrier having dispersed therein negatively charged colloidal dielectric particles, reacting the metal ions with the halide ions in the presence of the dielectric 15 particles and the election hole scavenger to form metal halide coatings over individual nanoparticles, and exposing the liquid carrier to light and reducing agent to change the metal halide coatings to metal coatings.

A fifth embodiment of this invention is a method of forming metal coated dielectric nanoparticles, comprising the steps of providing a liquid carrier having dispersed therein dielectric nanoparticles having metal halide on the surfaces thereof, changing at least a portion of the metal halide on individual nanoparticles to metal, adding metal ions and a sulfate reducing agent to the liquid carrier, and forming metal coatings on the nanoparticles from those metal ions, wherein the metal on the nanoparticles acts as a catalyst and accelerates the formation of the metal coatings.

With all of the above-described methods, it is not necessary that any formed coating of a particle completely

20

25

-4-

cover the core or an underlying coating of the particle. Complete coverage of the core or of another coating may be preferred for certain applications, though, and can also be obtained using the methods of this invention.

A first nanoparticle according to this inventions comprises a dielectric core, and a metal halide coating over this dielectric core. A second nanoparticle according to the present invention comprises a dielectric core, a metal coating disposed immediately over that core, and a layer of metal halide disposed immediately over that metal layer. 10 third nanoparticle according to the present invention comprises a dielectric core, a layer of metal halide disposed immediately over that core, and another layer of metal disposed immediately over the metal halide coating. A still another nanoparticle according to the present invention comprises a dielectric core, a layer of one metal disposed over that core, and a layer of another metal disposed over that first metal layer.

Any of the nanoparticles formed in accordance with the present invention may be provided with an outer coating 20 of a polymer material to prevent the particle from reacting chemically with any medium or environment in which the particle is used. Also, it may be desirable to provide these nanoparticles formed according to the present invention with interior coats of a polymer material to prevent other coats 25 of the particle from chemically reacting with each other, or to prevent the core of the particle from chemically reacting with a coating of the particle. In addition, in any nanoparticle according to this invention, it is not necessary that any given coating of the particle completely cover the 30 core or another coating of the particle. Such complete

5

coverage of the core or of another coating may be preferred, however, for certain nanoparticles or for certain uses.

Further benefits and advantages of the invention will become apparent from a consideration of the following detailed description given with reference to the accompanying drawings, which specify and show preferred embodiments of the invention.

BRIEF DESCRIPTION OF THE DRAWINGS

Figures 1 through 9, which are not drawn to scale, show various coated nanoparticles of the present invention.

Figures 10 through 18 outline several processes of this invention that may be used to form the particles shown in Figures 1 through 9.

Figure 19 is a transmission electron micrograph of silver-coated silver bromide nanoparticles.

Figure 20 is a transmission electron micrograph of silver coated silver bromide nanoparticle treated with ammonia.

Figure 21 shows various optical extinction spectra of silver coated silver bromide nanoparticles either untreated or treated with ammonia. The spectra of (a) to (d) are spectra of various illuminated solutions of Ag, Br, and EDTA. In going from (a) to (d), the illumination time increases. The spectrum in(e) is a typical spectrum observed after the addition of ammonia to any of the above solutions.

Figure 22 shows computed extinction efficiencies for silver-coated silver bromide particles in water. The diameter of the core particle is 20 nm and the thickness of the silver coats are indicated in nm. The spectrum marked solid is that of a homogeneous 20 nm diameter silver sphere.

WO 91/06036 PCT/US90/06009

-6-

Figure 23 is an optical extinction spectrum of a measured silver coated silver bromide nanoparticle and two computed extinction spectra. The measured spectrum lies between the two computed spectra. In the upper curve all the silver in the coat is assumed to come from the solution. In the lower curve all of the silver is assumed to come from the reduction of AgBr at the particle surface.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

formed in accordance with the present invention; and generally, each of these particles comprises a dielectric core and one or more coatings over that core. The cores of all of these particles have sizes on the order of magnitude of a 0.5 to 200 nanometers, and thus are referred to as nano-cores or nanoparticles. With some of these particles, such as those shown in Figures 1 through 3, and 7 and 8, one coating of each particle is comprised of silver halide; and with some of these particles such as those shown in Figures 2 through 6, 8 and 9 at least one coating of each particle is comprised of each particle is comprised of a metal.

Particle 12 of Figure 1 consists of core 12a and coating or shell 12b, the core consists essentially of a dielectric material such as silica, and the shell consists essentially of silver halide. Further, with this particle, shell 12b is disposed immediately over and substantially completely covers core 12a. This particle does not itself include any metal and thus does not exhibit the plasmon resonance effect. However, the silver halide in the particle may be changed to metal silver, either to form a layer of metal silver on the particle or to help form a layer of

another meta. thereon, and to thereby form a particle that does exhibit the plasmon resonance effect.

In particle 14 of Figure 2, a metal coating such as silver, copper, aluminum, gold or palladium is disposed between the dielectric core and the silver halide coating to increase the sensitivity of the silver halide to light. This increased sensitivity is caused by the plasmon resonance effect produced by the metal coating. More specifically, particle 14 consists of dielectric core 14a, metal coating 14b disposed immediately over and covering that core, and a layer of silver halide 14c disposed immediately over and covering layer 14b.

Particle 16 of Figure 3 is similar to particle 14 of Figure 2 in that both of these particles include a dielectric core, one coating of silver halide and a second coating of a metal. The order of these coatings in particle 16, though, is the reverse of the order of these coatings in particle 14. More specifically, particle 16 consists of dielectric core 16a, a layer of silver halide 16b disposed immediately over and covering core 16a, and a layer of a metal 16c disposed immediately over and covering the silver halide coating 16b.

Particle 20 of Figure 4 comprises a dielectric core 20a, a layer of one metal 20b disposed over core 20a and a layer of another metal 20c disposed over metal layer 20b. Preferably, coating 20b completely covers core 20a, and coating 20c completely covers coating 20b. Particle 22 of Figure 5 is one specie of the general type of particle shown in Figure 4. More specifically, particle 22 comprises a dielectric core 22a, a layer of silver 22b disposed over core 22a, and a layer of another metal 22c disposed over the

15

20

25

silver layer 22b with the specific particle configuration shown in Figure 5 coating 22b completely covers core 22a, and coating 22c completely covers coating 22b.

For certain applications, it may be preferred to provide the nanoparticles of the present invention with an outer coating of a polymer material, for example, to prevent the particle from reacting chemically with any medium or environment in which the particle is used. This principle is generally illustrated in Figures 6 and 7. Figure 6 shows particle 24 comprising core 24a, first coating 24b and second 10 coating 24c. Core 24a consists essentially of a dielectric material, coating 24b consists essentially of a metal and is disposed immediately over core 24a, and coating 24c consists essentially of a polymer material and is disposed immediately over shell 24b. Coating 24b may completely cover core 24a, 15 and coating 24c may completely cover coating 24b. Figure 7 shows particle 26 comprising core 26a, first coating 26b and second coating 26c. Core 26a consists essentially of a dielectric material, coating 26b consists essentially of silver halide and is disposed immediately over core 26a, and 20 shell 26c consists essentially of a polymer material and is disposed immediately over and completely covers shell 26b. Coating 26b may completely cover core 26a, and coating 26c may completely cover coating 26a.

25 It may also be desirable to provide nanoparticles of this invention with interior coatings or shells of a polymer material, either to prevent other coatings or shells of the particles from chemically reacting with each other, or to prevent the core of the particle from chemically reacting with a coating or shell of the particle. This principle is

generally illustrated in Figures 8 and 9, which disclose particles 30 and 32 respectively.

Particle 30 is similar to particle 16 in that both of these particles include an inside dielectric core, a first metal coating and a second, silver halide coating. 5 Particles 30 and 16 differ in that the former particle includes a third, polymeric coating disposed between the metal coating and the silver halide coating. More specifically, particle 26 includes core 30a and coatings 30b, c and d. Core 30a consists essentially of a dielectric 10 material, and coating 30b consists essentially of a metal and is disposed immediately over and core 30a. Coating 30c consists of a polymeric material and is disposed immediately over shell 30b, and shell 30d consists essentially of silver halide and is disposed immediately over shell 30c. With the 15 specific arrangement illustrated in Figure 8, coating 30b substantially completely covers core 30a, coating 30c substantially completely covers coating 30b, and coating 30d substantially completely covers coating 30c.

Particle 32 is similar to particle 20, and both of these particles include a dielectric core and two metal coatings or shells. Particle 32 includes a further polymeric coating located between the two metal coatings to chemically insulate these two metal coatings from each other. To elaborate, particle 32 comprises core 32a and coatings 32b, c and d. Core 32a consists essentially of a dielectric material, and coating 32b consists essentially of a metal and is disposed immediately over core 32a. Coating 32c consists essentially of a polymeric material and is disposed immediately over coating 32b; and coating 32d consists essentially of a metal, which may or may not be the same as

20

25

- the metal of coating 32b, and is disposed immediately over polymeric coating 32c. Each of coatings 32b, c and d may form a respective one, at least substantially complete shell. With such an arrangement, coating 32b substantially
- completely covers core 32a, coating 32c substantially completely covers coating 32b, and coating 32d substantially completely covers coating 32c.

Figures 1-9 are only representative of nanoparticles formed according to the present invention, and in particular, only illustrate the general relationship between the cores and the coatings or shells of the particles. In any nanoparticle of this invention, the particle and the core thereof may have any suitable shapes, and specifically, the particles and the cores may have shapes other than spherical.

For instance, the particles and the cores may be cylindrical or ellipsoidal, have a thread-like shape, or be crystalling.

or ellipsoidal, have a thread-like shape, or be crystalline shaped. The actual crystal form of the core may be any suitable form; and, for example, these cores may be:

Tetragonal crystal forms,

Orthorhombic crystal forms,
Monoclinic crystal forms,
Triclinic crystal forms,
Isometric crystal forms,
Hexagonal crystal forms.

Also the shapes of the nanoparticles may change as they are made.

Further, any suitable dielectric material may be used in these particles; and, in particular, the dielectric material may be linear or non-linear.

As used herein, the term "dielectric" material refers to a material which is a non-conductor or a

WO 91/06036 PCT/US90/06009

-11-

semi-conductor. The conductivity of this material may range from as low as 0, but preferably 10^{-40} , to as high as 10^6 mhos. In a preferred embodiment, the conductivity ranges from 10^{-40} to 10^5 mhos. In a most preferred embodiment the conductivity ranges from 10^{-30} to 10^4 mhos. Examples of dielectric core include glass, silica, cadmium sulfide, gallium arsenide, polydiacetylene, lead sulfide, titanium dioxide, polymethylacrylate (PMMA), silver bromide, carbon fibers, copper sulfide, silver sulfide and the like.

In addition, as the term is used herein, "metal" includes any material having a negative dielectric constant, and so can include super conductors, conducting polymers, materials with an anomolous dispersion of carrier electrons, and heavily doped semi conductors where free carrier electron motion dominates the dielectric function.

The types of metals that can be coated onto the dielectric core in accordance with the methodology of the present invention include the transition metals, the lanthanides and the Group IIIA metals. The especially preferred transition metals include the Group VIII and IB metals, and Group IIIA metals, especially copper, silver, gold, iron, nickel, palladium, platinum, cobalt, rhodium, iridium, ruthenium, aluminum and the like. Especially preferred metals include copper, silver, gold, nickel, palladium, platinum and aluminum.

In accordance with the present invention, the metal-halide coated nonoparticles can be prepared by providing a source of metal ions and a source of halide ions in a liquid carrier having dispersed therein charged colloidal dielectric particles and reacting the halide ions with the metal ions in the presence of the dielectric

WO 91/06036 PCT/US90/06009

-12-

particles to form coatings of metal halide over individual dielectric particles.

For example, using this procedure, silver halide coated dielectric particles, such as particle 12 of Figure 1 5 can be prepared. More specifically, this procedure, generally, comprises the steps of providing a source of silver ions and a source of halide ions in a liquid carrier having dispersed therein charged colloidal dielectric particles, and reacting the halide ions with the silver ions in the presence of the dielectric particles to form coatings of silver halide over individual dielectric particles.

Figure 10 outlines one preferred method for carrying out this process but this procedure is exemplary and is equally applicable to the other metal halides coating dielectric particles. This method generally comprises the steps of providing an aqueous solution including negatively charged colloidal dielectric nanoparticles, positively charged silver ions, and a halide, and reacting the halide with the silver ions to bond, or grow, coatings of silver halide on, and preferably completely covering, individual dielectric particles. Preferably, the concentrations of dielectric particles, silver ions and halide in the solution, and the length of time over which the coatings are allowed to grow on the dielectric particles, are selected so that coatings of a uniform preselected thickness are grown on those particles. The specific order in which the dielectric particles, the silver ions and the halide are added to the aqueous solution is not critical; and, for example, the dielectric particles may be dispersed in the solution, then the silver ions may be added, and then the halide may be added.

10

15

20

25

With a preferred process, after the dielectric particles are added to the solution, the pH of that solution is adjusted to and thereafter maintained at a level slightly above 2, and even more preferably, between about 3 and 5. With this procedure, the dielectric particles do not have to be negatively charged when they are added to the solution, and, instead, the acidity of the aqueous solution causes the dielectric particles to become negatively charged once the Further, with the preferred particles are in the solution. process, the initial concentration of the silver ions in the solution is relatively low, less than 10-4 M; the initial concentration of the halide in the solution is slightly greater than, such as about 10% greater than, the concentration of the silver ions in the solution; and also, the solution is constantly stirred while the halide is being 15 added to it.

The silver ions may be added to the solution in any suitable form, and for instance, these ions may be added in the form of a silver salt that is soluble in aqueous solution, e.g., silver nitrate. Likewise, the halide that is 20 added to the solution may be any suitable halide, such as an alkali halide, e.g., sodium bromide, potassium bromide, potassium chloride, sodium chloride and the like. addition, any suitable dielectric may be used in the above-discussed process, and the dielectric may be linear or 25 non-linear and may have any suitable shape and size. For example, the dielectric particles may be spherically shaped silica particles. When, first, the dielectric particles are these silica particles, second, the silver ions are added to the solution in the form of silver nitrate, and third, the 30 halide is sodium bromide, then the silver from the silver

5

10

15

20

25

30

nitrate reacts with the bromide from the sodium bromide to form silver bromide, which bonds to and forms layers over the silica particles.

A metal coating on a dielectric particle, such as coating 14b of particle 14, or coating 24b of particle 24, may be made by a process, generally, comprising the steps of providing a source of metal ions, a source of a secondary alcohol, preferably a lower secondary alkanol containing 3-7 carbon atoms and a source of a ketone preferable containing 3-7 carbon atoms in an anaerobic liquid carrier having dispersed therein charged dielectric particles, and exposing the liquid carrier to light, preferably ultraviolet light to cause the metal ions to attach to the dielectric particles and form metal coatings over individual dielectric particles.

As used herein, the term lower alkyl, when used alone or in combination, contains 1-7 carbon atoms. These alkyl groups may be straight chained or branched and include such groups as methyl, ethyl, proply, isopropyl, butyl, sec-butyl, isobutyl, t-butyl, pentyl, amyl, hexyl and the like.

As used herein, a secondary alkanol refers to a lower alkyl alcohol in which the hydroxy group is attached to a secondary carbon. Such groups include isopropanol, sec-butanol, and the like.

The preferred ketone is acetone.

Figure 11 outlines one preferred method for carrying out this process. This method generally comprises the steps of providing an aqueous solution including negatively charged colloidal dielectric particles, metal ions, isopropanol and acetone; removing oxygen from the solution; and exposing the solution to ultraviolet light to cause the metal ions to attach to the

dielectric particles and form metal coatings completely covering individual dielectric particles. Preferably, the concentrations of the dielectric particles, the metal ions, the isopropanol and the acetone, and the length of time the solution is exposed to the ultraviolet light are selected so that coatings of a uniform preselected thickness are formed on the dielectric particles.

In the above-discussed procedure, without wishing to be bound, it is believed that the acetone absorbs energy from the ultraviolet light and then reacts with isopropanol to form isopropyl radicals. These radicals are powerful reducing agents and cause metal ions that have become attached to the dielectric particles to form metal molecules. The particular order in which the dielectric particles, the metal ions, the isopropanol and the acetone are acced to the aqueous solution is not critical; and, for instance, the isopropanol and acetone may be added to the solution, the dielectric particles may then be dispersed in the solution, and then the metals may be added.

In the above procedure, it is preferred that the light source used contain ultraviolet light. It is preferred that the light source contain wavelengths from 150-550 nm.

The preferred wavelengths range from 200-400 nm.

Purchermore, it is preferred that the intensity of light used range from 50 watts to 1.5 kilowatts, with the preferred intensity ranging from 250-1000 watts. Especially preferred intensity range from 350-550 watts, with an intensity of about 450 watts being the most preferred.

In a preferred method, as with the method outlined in Figure 10, after the dielectric particles are added to the solution, the pH of the solution is adjusted to and

thereafter maintained at a level slightly above 2, and even 7 more preferably, between about 3 and 5. In this way, the dielectric particles do not have to be negatively charged when they are added to the solution and the acidity of the aqueous solution causes the dielectric particles to become negatively charged. In addition, the initial concentration of the metal ions in the solution is relatively low, such as $2 \times 10^{-4} M$; and the initial concentrations of the ketone, e.g., acetone, and secondary alcohol, e.g., isopropanol in the solution are about equal to each other and much greater 10 than, such as about 400 times greater than, the initial concentration of the metal ions in the solution. addition, preferably the solution is stirred while exposed to the ultraviolet light.

Numerous specific types of metal coatings may be made using a procedure as described above, and for example, the process may be used to form silver coated dielectric particles, gold coated particles or palladium coated particles. In addition, the metal ions may be provided in the solution in any suitable manner; and, for example, these ions may be provided by adding a water soluble metal salt such as silver nitrate, to the solution.

Moreover, any suitable dielectric may be used in the above-discussed process, and the dielectric may be linear of non-linear and may have any suitable shape and size. For instance, the dielectric particles may be spherically shaped silica particles. When such dielectric particles are used, and the metal ions are added to the solution in the form of silver nitrate, then the ultraviolet light, in combination with the acetone and the isopropanol, causes the silver ions

25

PCT/US90/06009

to bond to and form metal silver coatings over the silica particles.

The following example illustrates this process for forming a metal silver coating over the silica particles.

5

10

15

20

25

30

-18-

1

EXAMPLE 1

An aqueous solution is prepared by mixing the following solutions in a 50 ml beaker:

- $_{5}$ (1) 0.5 ml of 0.01 M AgNO₃
- (2) 0.5 ml of 0.50 M SiO₂ of low porosity particles. The particle diameter was chosen to be between 5 to 20 nanometers, although other sizes can be readily substituted,
 - (3) 1.5 ml of pure isopropanol,
- 10 (4) 1.5 ml of pure acetone.
 - All chemicals used are of reagent grade quality, unless otherwise specified. The above mixture are diluted with 16 ml of distilled water, and the pH adjusted to be between 4 to 5 by dropwise addition of a 0.01 M nitric acid solution. In
- this pH range, the silica particles are negatively charged, causing the positively charged silver ions to be bound to the surface. After thorough mixing by stirring for one minute using a magnetic stirrer, the sample is transferred to a UV photolysis vessel, equipped with a quartz window and
- provision for careful deoxygenation by bubbling nitrogen gas for one hour. It is important that no oxygen be present in the solution. The sample is irradiated by a 450 Watt Hg-Xe lamp for one hour, with gentle stirring continued by means of a magnetic stirrer. The solution color, and consequently the thickness of the coat, can be controlled by adjusting the
- period of illumination by UV light. This forms the basis for the preparation of the silver coated silica particles in the present example.

30

WO 91/06036 PCT/US90/06009

-19-

Metal, e.g., silver coated dielectric particles may also be made by a process employing photoreduction of metal (e.g., silver) halide, and one such exemplary process is outlined in Figure 12. In this process, silver halide coated dielectric particles are made, for example, by the process discussed above in connection with Figure 10, and then the coated particles are exposed to light to change the silver halide coatings over the individual particles to metal silver coatings.

Preferably, though, a more integrated process is 10 used to form metal coated dielectric particles. process, generally, comprises the steps of providing a source of metal ions, a source of halide ions and a source of an electron hole scavenger in a liquid carrier having dispersed therein negatively charged colloidal dielectric particles, 15 reacting the metal ions with the halide ions in the presence of the dielectric particles and the electron hole scavenger to form metal halide coatings over individual dielectric particles, and exposing the liquid carrier to light to change the sliver halide coatings to metallic silver coatings. 20 Figure 13 outlines a preferred method to implement this process using silver ions. In accordance with this method, dielectric particles are dispersed in a solution including silver ions, a halide and an electron hole scavenger, and the silver ions react with the halide to form silver halide 25 coatings over, and which may completely cover, individual dielectric particles. The solution is then exposed to ultraviolet light, and this light changes the silver halide coatings to silver coatings. Preferably, the concentrations of the dielectric particles, the silver ions, the halide and 30 the electron hole scavenger in the solution, and the length

of time the solution is exposed to the ultraviolet light are selected so that coatings of a uniform preselected thickness are formed on the dielectric particles.

Preferably, with this process, the initial concentration of metal (e.g., silver) ions in the solution is greater than the initial concentration of the halide in the solution: and for instance, the former concentration may be about 5 time the latter concentration. The metal ions may be in the solution in any suitable form, and for instance, if silver is the metal ion, these ions may be added to the 10 solution in the form of a metal salt that is soluble in aqueous solutions, e.g., silver nitrate. Similarly, the halide that is added to the solution may be any suitable halide, such as alkali halide, e.g., sodium bromide, potassium bromide, sodium chloride, potassium chloride and 15 the like. Further, any suitable dielectric may be used in this process, and the dielectric may be linear or non-linear and have any suitable shape and size. For example, the dielectric particles may be spherically shaped silica particles. When (i) the dielectric particles are the silica 20 particles, (ii) the silver ions are added to the solution in the form of silver nitrate, and (iii) the halide is sodium bromide, then the silver from the silver nitrate reacts with the bromide from the sodium bromide to form silver bromide coatings on the dielectric particles; and the ultraviolet 25 light, in the presence of EDTA, then reduces the silver

bromide coatings to metallic silver.

30

EXAMPLE 2

The following example illustrates this process for forming silver coated dielectric particles. Metallic silver on SiO₂ particles can be obtained by photoreduction of silver halides, which are typically prepared in the presence of excess Ag⁺ ions. A hole (h⁺) scavenger, EDTA, is added to the solution. One ml of a 0.002 M NaBr solution is added to 19 ml of a solution which is prepared in a 50 ml beaker by mixing the following:

- (1) 1 ml of 0.01 M AgNO₃,
- (2) 0.5 ml of 0.50 M of low porosity SiO₂ particles. The particle diameter was 12 nanometers, although other sizes can be readily substituted,
 - (3) 1 ml of 0.02 M EDTA,

reduction process is given by: -

15
After thorough mixing, the solution is transferred to a 1 cm
UV quartz cuvette and exposed to a 375 Watt tungsten halogen
light source. Under these conditions, very little light is
actually absorbed since the colloidal AgBr has a very low
absorbance above 350 nm. A possible mechanism for the

AgBr ----> AgBr(
$$e^- + h^+$$
)
AgBr + e^- ----> Ag^O + Br

EDTA + h^+ ----> product

Br + Ag + (excess) ----> AgBr

The duration of illumination, which is in the order of minutes, determines the color of the silver coated silica particles. This color is a result of the thickness of the silver layer, and can range from yellow to a purplish gray.

7.			Once	the	silve	coa	ted:	silica	sphere	es are	prepar	ed,
-4-	they	are	purifi	led 1	by dia	lysis	and	then	placed	in a	sodium	
	dodec	yl :	sulfate	e mid	cellar	solu	tion	, or a	micro	emuls	ion.	

WO 91/06036 PCT/US90/06009

-23-

A variation of the process described above may be employed to form metal coatings other than silver on nanoparticles, and this variation utilizes the fact that metallic silver on the dielectric particles will act as a 5 catalyst to help grow metal coatings on those particles from other metal ions in the solution. In accordance with this variation, which is outlined in Figure 14, a liquid carrier, or a solution, is provided including dielectric particles having silver halide formed on those particles, at least a 10 portion of the silver halide on individual nanoparticles is changed to metallic silver, and ions of a metal are added to the solution to form coatings of that metal completely covering individual dielectric particles, with the metallic silver on those particles acting as a catalyst to accelerate the formation of the metal coatings. These metal ions may be 15 added to the solution in any suitable manner, and for instance, conventional photographic developing solutions may be added to the solution to reduce the metal ions.

Only minute amounts of metallic silver are needed on the dielectric particles to help grow the metal coatings 20 thereon; and hence, in the above-described process, it is only necessary to form minute amounts of silver halide on the dielectric particles. Alternatively, complete coatings of silver halide may be formed on the dielectric particles, with only minute amounts of the silver halide on individual 25 particles being changed to metallic silver on individual particles, and then these minute amounts of metallic silver may be used to help form metal coatings completely covering the silver halide that remain on the dielectric particles. The resulting product comprises a dielectric core, a first coating of silver halide that substantially completely covers 30

the electric core, and a second coating of a metal that completely covers the layer of silver halide.

The following example illustrates the coating of silver on an dielectric core of silver bromide. The silver bromide nanoparticles exposed briefly to intense UV light in the presence of EDTA have optical extinction spectra similar to those computed for distribution of silver coated silver bromide nanoparticles. By intense, it is meant that the intensity of the light ranges from 50 watts to 1.5 kilowatts, with the preferred range being 250-550 watts, and the most preferred having a range of 350-550 watts.

As clearly shown by the following discussion, with shorter exposure time, the plasmon resonance maximum is shifted to lower wavelengths, a result consistent with theory so long as the coat thickness increases with exposure to light. The resonance maximum of the distributions of coated particles can be controllably shifted to 600 to 700 nm.

20

15

25

30

EXAMPLE 3

Silver bromide colloids were prepared by rapidly mixing equal volumes of AgNO₃ and NaBr solutions. A growth stabilizer (SDS) and an electron donor (EDTA) were added immediately after precipitation. Typically the final concentrations were 1 x 10⁻⁴ M Br⁻, 4 x 10⁻⁴ M Ag⁺, 5 x 10⁻⁴ M SDS, and 5 x 10⁻⁴ M EDTA. The concentration of SDS was far below the critical micellization concentration (10⁻² M). Freshly prepared solutions were exposed to light from a 450 watt Hg-Xe lamp for a few seconds. With the shortest exposures the spectra appeared blue. With longer exposures the solutions appeared orange. When ammonia, which dissolves AgBr by forming complexes with Ag⁺, was added to any of the illuminated solutions the color changed to a yellow color characteristic of small metallic silver colloids.

The particle size distributions were characterized with transmission electron microscopy (JEOL 1200EX). A typical micrograph is shown in Fig 19. A size distribution consistent with the limited micrograph data is the log normal distribution.

 $N(r) = N_0 \exp(-((\ln(r) - \ln(r_m))/\ln(s))^2),$ with r_m equal to 1 nm or less and s in the renge of 4 to 4.5 nm. The size distributions as determined by TEM did not appear to change markedly with exposure to light.

After the addition of ammonia to any of the illuminated samples only small particles having diameters 5 nm or less were observed in the TEM (Fig 20). The most likely interpretation is that only part of the AgBr was reduced to Ag during the illumination and that the larger particles are AgBr/Ag composites.

30

20

after exposure are shown in Figs. 21a) to d). The exposure time and/or EDTA concentration, and hence the reduction of Ag⁺, increases in going from a) to d). The peak extinction shifts to shorter wavelengths as the illumination time is increased. This result is consistent with theory so long as the coat thickness increases with exposure. A spectrum of the ammonia treated solution, shown in Fig 21e), is typical of homogeneous silver nanoparticles. The general shapes of the above spectra are readily reproducible. At comparable illumination times, in the absence of Br⁻, the appearance of color in a given sample is negligible.

Theoretical optical extinction spectra of individual silver coated spheres are shown in Fig. 22. The peak of the theoretical extinction shifts from red to blue as the ratio of coat thickness to core radius increases. This data is consistent with the measured spectra where the absorption maxima shifts toward the blue as the time of exposure increases, since the coat thickness should increase with exposure time. The computed spectra are very sensitive to the coat thickness. The measured spectra are much more broad than the spectra shown in Fig. 22 because of the distributions of core diameters and coat thicknesses.

The magnitudes of the extinction spectra are also characteristic of silver coated particles. For example, at a wavelength of 700 nm the extinction cross section per unit volume of silver is 100's of times larger in a silver coated nanoparticle having the appropriate ratio fo core radius to coat thickness that it is in a solid silver sphere. The fact that the theoretical extinction is so large can be used to help verify that the particles are coated with silver.

20

25

WO 91/06036 PCT/US90/06009

-27-

1 However, since there is a broad distribution os sizes care must be taken in making the comparision.

Here we started with the size distribution of core particles described by the above equation, then used trial and error to determine the distributions of coat thicknesses required to match the measured spectra, and then found that the magnitudes of the spectra were within the range of values expected from the initial concentrations of Ag⁺ and Br⁻.

The assumptions made in computing the spectra are

10 as follows:

15

20

25

- The reduced silver is in the form of a smooth coat on the surface of a spherical AgBr particle. The extinction efficiencies were computed using the separation of variables solution for concentric spheres based on algorithms.
- 2. The size distribution of the core particles is described by the log-normal distribution of the above equation. The values of N_O were determined by setting the total volume of all the particles prior to illumination in the distribution equal to the volume of AgBr. The initial total volume of AgBr was determined by solving the ionic equilibria equations including the Ag⁺-EDTA complex.
 - 3. The size distribution of the coat thickness is a Gaussian, typically with a standard deviation of 2 to 8 nm.
- 4. The silver coat may be formed either from the reduction of the silver halide of the initial particle, or from the reduction of Ag⁺ from

1	solution. Computations have been done for each
	of the two limiting cases.
	5. The total extinction is computed by numerically
	integrating over distributions of core radii
5	and coat thicknesses.
_	$b_{e}(\lambda) = \int N_{n}(r_{c})N_{g}(t)Q(r_{c},t,m_{c},m_{t},\lambda) \gamma r^{2} dr_{c} dt$
	where N_n is the size distribution of the cores,
	$N_{_{f Q}}$ is the size disbribution of the coats, Q is
	the extinction efficiency, m _c is the refractive
10	index of the core, and m _t is the refractive
	index of the coat. Typically the integrations
	over cores were from r=2 to r=18.
	6. The refractive index of the silver was computer
	from the data of Hagemann et al. in \underline{J} . Op. Soc.
15	Am., 65 , $742-744$ (1975) and Kerker, in J. Op.
	Soc. Am. B., 1327-1329 (1985) either by itself,
	or combined with a Drude model in which the
	increased electron scattering at the surfaces
	of the very thin coat was taken into account.
20	The refractive index data of Johnson and
	Christy in <u>Phy</u> . <u>Rev</u> . <u>B</u> , 6, 4370-4379 (1972) was
	also used for some computations not shown.
	Linear interpolation was used to obtain the
	values of refractive index at points not in the
25	đata.
_	 The refractive index of AgBr was obtained by
	combining the data from White, J. Opt. Soc. Am,
	$\underline{62}$, 212 (1972) and James, "Theory of the
	Photographic Process," McMillan (1977) p 216.
30	Fig. 23 shows a measured spectrum and two computed

35

spectra.

In the topmost curve the Ag in the coat is assumed

PCT/US90/06009 WO 91/06036

-29-

to come only from the solution, i.e., the AgBr cores are not reduced in size as the coat grows. In the bottom curve the Ag in the coat is assumed to come only from the reduction of AgBr at the surface of the particle and so the core shrinks as the coat grows. Since the measured curve lies between the two computed spectra, the magnitudes of the plasmon enhanced extinction is in the range of values computed.

The main parameters that can be adjusted in fitting the thickness and the distributions to the spectra are: 1) standard deviation of the coats and the limits of the numerical integration for the coats. 2) the size distribution and the limits of integration for the cores. 3) the date for the refractive index of silver. 4) the fraction of the reduced silver that came from solution. 15 computed spectra are very sensitive to the distributions of cores and coats chosen and to the limits of integration, which also define the size distributions. The computed spectra depend on the refractive index of silver used. However, by varying the size distributions, similar spectra can be obtained with the different models for silver. effect of the different assumptions about the source of the Ag for the coat can be seen in Fig. 23. In a preliminary experiment without excess silver a spectrum similar to that shown in Fig. 21d) was generated.

Without wishing to be bound, it is believed that 25 the silver coat is formed by the coalescing of many small silver particles. The coat may also contain some AgBr or voids, but it is homogeneous enough to have a refractive index similar to that of bulk islver. The bonds between the particles may be relatively weak because the coat breaks into 30

many small particles when the solution is treated with ammonia.

It might have been thought that the spectra could be accounted for by nonsperical silver particles. The fact that ammonia, which dissolves AgBr but not Ag, reduces the spectrum to that of small solid silver particles, and the fact that the particles in the TEM do not have large eccentricites, argue against this hypotheses. Also, the particle shapes do not seem to be related to the colors of the solutions.

In summary, the predicted tunability of the surface-plasmon resonace frequency and enhanced extinction at longer wavelengths was experimentaly confirmed with Ag-AgBr colloidal composites. The particles scatter as if the Ag is smoothly coated on the AgBr.

Silver coated dielectric particles may also be formed by a process utilizing chemical reduction of silver ions by hydroquinone at elevated temperatures. The following example, generally outlined in Figure 15, illustrates this process.

25

30

EXAMPLE 4

l 100 ml of a silica solution (particle diameter 7 nm) which had been purified by overnight dialysis was transferred to a 250 ml beaker, and the pH adjusted to 4.0 by dropwise addition of 0.01 M nitric acid. This was heated to 90°C, and 0.01 M AgNO, solution added dropwise under gentle stirring to achieve the final concentration shown in the table below. After about 2 minutes, sufficient quantity of 0.01 M hydroquinone was added in a similar manner. reduction to metallic silver takes place gradually over a time period of about five minutes, accompanied by a color change from pale yellow to dark brown. The rate of silver deposition by this method can be controlled by varying the temperature between 85 and 95°C. A transparent solution is obtained in every case, and is allowed to cool and then purified by dialysis.

The following table summarizes the experimental conditions, including final concentrations, which were used in four different sets:

	I	II	III	IV
SiO ₂	1%	1%	1%	1%
AgNO ₂	5.0×10^{-4}	1.0×10^{-3}	1.5×10^{-3}	2.0×10^{-3}
Hydro-	5.0×10^{-5}	1.0×10^{-4}	$1.5 \text{x} 10^{-4}$	2.0×10^{-4}
guinone				

The amount of silver deposited increases from I to IV, and is evident from the color of the solution (light yellow to dark brown). Electron microscopy also provided evidential support. The optical absorption spectra show the presence of a single peak maximum at about 400 nm.

1 ELECTRON MICROSCOPIC RESULTS:

Solution 1 consists of particles which are smaller and better defined, appear darker, and were in the size range of 10 to 30 nm. In solution II, III and IV, the particle $_{\mbox{\scriptsize 5}}$ size range was found to be between 40 to 100 nm, the particles were similarly dark, but contained elongated as well as spherical shapes. The final size distribution may be due in part to the non uniform size of the silica core particles, found to be between 7 to 11 nm by electron 10 microscopy.

With all of the processes described above, after the coated particles are prepared, they may be removed from the solution in which they were prepared by dialysis, and then placed in a sodium dodecyl sulfate micellar solution or a micro emulsion. Additional coatings of either silver 15 halide, a metal or a polymer, may then be added until the desired final configuration is reached. Polymer coating of any of these particles may be readily achieved in a solution by the well known emulsion polymerization method, in which a suitable amount of monomer and initiator have been added.

For instance, the following process, outlined in Figure 16, shows how a polymer coating may be made on a silver coated particle.

The following aqueous stock solutions were

- (I) 0.1 M KH₂ PO₄,
- (II) 0.1 M NaOH,

prepared:

- (III) 2% solution of sodium salt of styrene sulfonic acid, Nass (comonomer),
- (IC) 3% solution of $K_2S_2O_8$. 30

20

All solutions were prepared in doubly distilled water, and all chemicals were reagent grade.

131.6 ml of a 1% solution of the silver coated silica particles were transferred to a three necked flask. 5 ml of solution IV, followed by 6.4 ml of solution II, were added with constant stirring using a magnetic stirrer. flask was equipped with a condenser, and a platinum thermometer, which, in combination with a thermoregulator and a heating mantle, allowed regulation of the temperature of $_{
m 10}$ the flask to 65 ± 1°C. At this temperature, nitrogen gas was bubbled through the mixture continuously, and 30 ml of styrene added. After 15 minutes, 10 ml of solution III were added, and after another 20 minutes 4 ml of the initiator, solution IV, were added. Depending upon the thickness of the 15 polymer film desired, the reaction can be terminated by addition of 25 ml of a 1% solution of hydroquinone, and cooling the reaction mixture to room temperature. The particles are filtered, washed several times with doubly distilled water, resuspended in water, and further purified by dialysis. 20

25

30

1 EXAMPLE 5

Coating of carbon fibres with copper was carried out by photochemical reduction of Cu⁺⁺ using highly reductive short lived 1-hydroxy-1-methylethyl radicals. These radicals were produced in situ by illuminating a mixuture of 1 M acetone and 1 M propanol-2 with a UV source of Hg-Xe lamp operated at 450 watt. The reaction can be presented by

$$(CH_3)_2 CO \longrightarrow (CH_3)_2 CO^*$$

$$(CH_3)_2 CO^* + (CH_3)_2 CHOH \longrightarrow 2(CH_3)_2 COH$$

$$2(CH_3)_2 COH + Cu^{+2} \longrightarrow 2(CH_3)_2 CO + Cu + 2H^+$$

$$nCu \longrightarrow Cu_n$$

Two different solutions of ${\rm Cu}^{++}$ (1 x 10⁻² M and 1 x 10^{-3} M) were used to achieve two different coating thicknesses. Both solutions contained 1 M acetone, 1 M propanol-2, and carbon fibers. The illumination time was two hours.

These coated fibres, washed with distilled water and observed under an optical microscope, show a very fine and smooth coating and visibly exhibit a metallic lustre of copper. The amount of copper on these fibres was detected using atomic absorption spectroscopy after removing the coat with 1 M nitric acid. The presence of copper on these fibres was also confirmed using Energy Dispersive Spectroscopy (EDS), which shows a peak for copper. The thickness of the coat can be controlled by the copper concentration in solution and the duration of illumination. It can be readily varied in the range of tens of nanometers to microns.

Ine processes discussed above may be used in 1 various combinations to form particles of a desired configuration. For example, Figure 17 generally outlines a procedure to make particle 20 of Figure 4. First, metal coating 20b is formed over dielectric core 20a, for example 5 using the method illustrated in Figure 13; and then silver halide coating 20c is made over metal layer 20b, for instance by generally following the method shown in Figure 10. Similarly, Figure 18 generally illustrates a procedure to make particle 22 of Figure 5. In this procedure, first, 10 metal coating 22b is formed over dielectric core 22a, for example by the process described above in connection with Figure 11, then polymer coating 22c is applied over coating 22b, and then silver halide layer 22d is formed over coating 22c, for example by generally following the procedure 15 discussed above in connection with Figure 10.

Methodology of the present invention are not limited to coating metals on a nanoparticle. Methods described herein can also be used to plate metals onto a substrate. This is especially useful if the substrate is a catalyst support system. By using methodology described herein, the catalyst support system can be coated with metals, e.g., Group VIII metals and provide a surface on which catalysis can occur. Catalyst support systems include zeolites, polystyrene, metal oxide, (e.g., titanium oxide) polymer support and the like.

The methods of the present invention can be used to coat other surfaces. For example, methodology of the present invention can be used to coat dielectric material, such as silica, glass, cadmium sulfide, gallium arsenide, polydiacetylene, lead sulfide, PMMA, silver bromide, carbon fibers, copper sulfide, silver sulfide, glass fibers, and the like.

30

20

The methods of the present invention can also be used to plate metals onto other metals, especially Group VIII metals.

The metals that can be plated onto the substrate include the lanthanide, the Group VIII metals, the Group IA metals and the Group IIIA metals. The preferred metals are silver, copper, gold, iron, nickel, palladium, platinum, cobalt, rhodium, iridium, ruthenium and aluminum.

onto the surface of a substrate entails providing a source of metal ions, secondary lower alkanol and a lower alkyl ketone distributed in an anerobic liquid carrier containing said substrate and exposing the liquid carrier to light to cause the metal ions to form metal and to plate onto the surface of the substrate. This procedure is used in the same manner as that described herein for coating a dielectric particle with the secondary alcohol, alkyl ketone, source of metal ions and light.

The other procedure for coating metals (e.g. silver) onto surfaces is the photoreduction method described herein. A substrate is coated with metal (e.g. silver) halide by reacting a source of metal (e.g. silver) ions with a source of halide ions on the surface of a substrate in an anerobic carrier containing an electron scavenger and optionally a growth stabilizer. The substrate surface is then illuminated with light, whereby the metal (e.g. silver) halide coatings is converted to metal (e.g. silver) coating. This procedure is thus used in the same manner as described herein for coating dielectric particles.

PCT/US90/06009

Although the text hereinabove refers to halides, the halides may be replaced with organic anions, thereby forming other metal complexes. A property of these organic anions is that they must be capable of forming stable complexes. These organic ions include such anions as acetate, formate, citrate, EDTA, malonate, and polypeptides prepared from the natural amino acids, such as poly GLU, poly ASP, and the like.

while it is apparent that the invention herein disclosed is well calculated to fulfill the objects previously stated, it will be appreciated that numerous modifications and embodiments may be devised by those skilled in the art, and it is intended that the appended claims cover all such modifications and embodiments as fall within the true spirit and scope of the present invention.

20

25

30

5

10

15

20

25

30

WHAT IS CLAIMED IS:

1. A method of forming silver halide coated dielectric particles, comprising the steps of:

providing a source of ions and a source of halide ions in a liquid carrier having dispersed therein charged colloidal dielectric particles; and

reacting the halide ions with the silver ions in the presence of the dielectric particles to form coatings of silver halide over individual dielectric particles.

- 2. A method according to claim 1, wherein the reacting step includes the step of reacting the halide ions with the silver ions to form silver halide coatings of a selected, uniform thickness on individual dielectrical particles.
- 3. A method according to claim 2, wherein the reacting step includes the step of reacting the halide ions with the silver ions for a preselected length of time to form the silver halide coatings on the dielectric particles.
- 4. A method according to claim 1, wherein the reacting step includes the step of forming coatings of silver halide completely covering individual dielectric particles.
- 5. A method according to claim 2, wherein the providing step includes the steps of:

providing the liquid carrier with a source of a given initial concentration of silver ions; and

providing the liquid carrier with a source of an initial concentration of halide slightly greater than said given concentration.

WO 91/06036 PCT/US90/06009

-39-

- 6. A method according to claim 5, wherein said initial concentration of halide ions is about 10% greater than said given concentration.
- 7. A method according to claim 6, wherein said given concentration is 10^{-2} molar.
 - 8. A method according to claim 2, wherein the providing step includes the steps of:

introducing the dielectric particles and the silver ions into the liquid carrier, and then introducing the halide ions into the solution; and

stirring the liquid carrier while the halide ions are being introduced into the liquid carrier.

- 9. A method according to claim 2, wherein the providing step includes the steps of:
- introducing silver nitrate into the solution; introducing sodium bromide into the solution; and dispersing silica nanoparticles in the solution.
 - of a substrate which comprises providing a source of metal ions, a secondary lower alkanol and a lower alkyl ketone, each of which is uniformly distributed in an anaerobic liquid carrier containing said substrate and

exposing the liquid carrier to light having sufficient intensity and wavelength to cause the metal ions to form metal and to plate onto the surface of said substrate.

11. The method of Claim 10 wherein the exposing step includes the step of exposing the liquid carrier to light to form metal coatings of a selected uniform thickness on the surface of the substrate.

- 1 12. The method according to Claim 10 wherein the exposing step includes the step of forming metal coatings completely covering the substrate.
 - 13. The method according to Claim 10 wherein the initial concentration of each of the secondary alkanol and alkyl ketone is greater than the initial concentration of the source of the metal ions.
 - 14. The method according to Claim 13 wherein the initial concentration of each of the alkanol and alkyl ketone is about 400 times greater than the concentration of the source of metal ions.
 - 15. The method according to Claim 10 wherein the exposing step includes the step of stirring the liquid carrier while exposing the liquid carrier to light.
- 16. The method according to Claim 10 wherein the providing step includes the step of introducing a water soluble metal salt into the liquid carrier.
 - 17. The method according to Claim 10 wherein the wavelength of light used ranges from 150-550 nm.
- 20 18. The method according to Claim 17 wherein the wavelength of light used ranges from 200-400 nm.
 - 19. The method according to Claim 10 wherein the intensity of light ranges from 50 watts to 1.5 kilowatts.
 - 20. The method according to Claim 19 wherein the intensity of light ranges from 350-550 watts.
 - 21. The method according to Claim 20 wherein the intensity of light is about 450 watts.
- 22. The method according to Claim 10 wherein the metal is a Group IIIA metal, Group IB metal, Group VIII metal or a lanthanide.

25

5

WO 91/06036 PCT/US90/06009

-41-

- 23. The method according to Claim 22 wherein the metal is a Group IB or Group VIII metal.
 - 24. The method according to Claim 23 wherein the metal is silver, copper, gold, iron, nickel, palladium, platinum, cobalt, rhodium, iridium, ruthenium or aluminum.
 - 25. The method according to Claim 24 wherein the metal is silver, copper, gold, nickel, palladium, or platinum.
 - 26. The method according to Claim 10 wherein the secondary alcohol is isopropanol.
 - 27. The method according to Claim 10 wherein the alkyl ketone is acetone.
 - 28. The method according to Claim 10 wherein the substrate is a dielectric material.
- 29. The method according to Claim 28 wherein the dielectric material has a conductivity ranging from 10^{-40} to 10^{+6} mhos.
 - 30. The method according to Claim 28 wherein the dielectric material is a catalyst support.
- 31. The method according to Claim 28 wherein the dielectric material is zeolite, polystyrene, metal oxide, glass, silca, cadmum sulfide, gallum arsenide, polydiacetylene, lead sulfide, PMMA, silver bromide, carbon fibers, copper sulfide, silver suifide or glass fibers.
 - 32. The method according to Claim 31 wherein the metal oxide is titantum oxide.
 - 33. The method according to Claim 10 wherein the substrate is a metal.
- 34. The method according to Claim 10 wherein the substrate is a Group VIII metal.

25

5

5

10

15

- 35. A method of forming metal coated dielectric nanoparticles, comprising the steps of:
 - providing a source of metal ions, a source of an alcohol and a source of ketone in an anaerobic liquid carrier having dispersed therein charged dielectric particles; and
 - exposing the liquid carrier to light to cause the metal ions to form metal coatings over individual dielectric particles.
 - 36. A method according to claim 35, wherein the exposing step includes the step of exposing the liquid carrier to light to form metal coatings of a selected, uniform thickness on individual dielectric particles.
 - 37. A method according to claim 35, wherein the exposing step includes the step of forming metal coatings completely covering individual dielectric particles.
 - 38. A method according to claim 35, wherein the providing step includes the steps of:
 - providing the liquid carrier with a source of an initial, given concentration of metal ions; and
- providing the liquid carrier with a source of initial concentrations of alcohol and ketone greater than said given concentration.
 - 39. A method according to claim 38, wherein said initial concentrations of each of the alcohol and ketone is about 400 times said given concentration.
 - 40. A method according to claim 35, wherein the exposing step includes the step of stirring the liquid carrier while exposing the liquid carrier to light.
- 41. A method according to claim 35, wherein the providing step includes the step of introducing a water soluble metal salt into the solution.

-43-

42. A method according to claim 41, wherein: the water soluble salt is silver nitrate; and the providing step further includes the step of dispersing silica nanoparticles into the solution.

43. A method of forming silver coated dielectric nanoparticles, comprising the steps of:

forming silver halide coated nanoparticles; and exposing the coated nanoparticles to light to change the silver halide to metallic silver to form metal coatings over individual nanoparticles.

44. A method according to claim 43, wherein the step of forming the silver halide coated nanoparticles includes the steps of:

providing a source of silver ions and a source of halide ions in a liquid carrier having dispersed therein charged colloidal dielectric particles; and

reacting the halide ions with the silver ions in the presence of the dielectric particles to form coatings of silver halide covering individual dielectric particles.

- 45. A method according to claim 44, wherein the reacting step includes the step of reacting the halogen of the halide ions with the silver ions to form silver halide coatings of a selected, uniform thickness on individual dielectric particles.
- 46. A method according to claim 43, wherein the exposing step includes the step of changing substantially all of the silver halide on each of a multitude of nanoparticles to metallic silver.
- 47. A method according to claim 46, wherein the providing step includes the steps of:

1

5

10

15

10

20

providing the liquid carrier with a source of an initial, given concentration of silver ions; and

providing the liquid carrier with a source of an initial concentration of halide ions slightly greater than said given concentration.

48. A method according to claim 47, wherein the providing step includes the steps of:

introducing silver nitrate into the liquid carrier; introducing sodium bromide into the liquid carrier; and

introducing silica nanoparticles into the liquid carrier.

49. A method of coating a silver onto the surface of a substrate which comprises providing an anerobic liquid carrier containing therein a metal halide coated onto a substrate and an electron hole scavenger which is uniformly distributed in the liquid carrier;

exposing the liquid carrier to light of sufficient wavelength and intensity to convert the silver halide coatings to metal coatings.

- 50. The method according to Claim 49 wherein a growth stabilizer is additionally present.
- 51. The method according to Claim 50 wherein the silver halide is formed from reacting a source of silver ions with a source of halide ions on the surface of said substrate in the anerobic liquid carrier.
- 52. The method of Claim 50 wherein the light used is ultraviolet light.
- 53. The method according to Claim 50 wherein the exposing step includes the step of exposing the liquid

- carrier to ultraviolet light to form metallic coatings ofa selected uniform thickness on said substrate.
- 54. The method according to Claim 50 wherein the initial concentration of the source of silver ions is greater than the initial concentration of the source of halide ions.
 - 55. The method according to Claim 54 wherein said initial concentration of the source of silver ions is about 5 times the initial concentration of halide ions.
- $\,$ 56. The method according to Claim 50 wherein the electron hole scavenger is EDTA.
 - 57. The method according to Claim 50 wherein the growth stabilizes the SDS.
 - 58. The method according to Claim 50 wherein the wavelength of light used ranges from 200-400 nm.
- 59. The method according to Claim 50 wherein the intensity of light ranges from 50 watts to 1.5 kilowatts.
 - 60. The method according to Claim 59 wherein the intensity of light ranges from 350-550 watts.
- 61. The method according to Claim 50 wherein the substrate is a dielectric material.
 - 62. The method according to Claim 50 wherein the dielectric material has a conductivity ranging from 10^{-40} to 10^{+6} mhos.
- $\,$ 63. The method according to Claim 62 wherein the dielectric material is a catalyst support.
 - 64. The method according to Claim 61 wherein the dielectric material is zeolite, polystyrene, metal oxide, glass, silica, cadmium, sulfide, gallium arsenide, polydiacetylene, lead sulfide, PMMA, silver bromide, carbon fibers, copper sulfide, or silver sulfide.

WO 91/06036 PCT/US90/06009

-46-

- 65. The method according to Claim 64 wherein the metal oxide is titantium oxide.
 - 66. The method according to Claim 50 wherein the substrate is a metal.
- 67. The method according to Claim 66 wherein the substrate is a Group VIII metal.
 - 68. The method according to Claim 50 wherein the source of metal ions is silver nitrate, the source of halide ions is sodium bromide and the substrate is silica.
- 69. A method of forming silver coated dielectric particles, comprising the steps of:

providing a source of silver metal ions, a source of halide ions and a source of an electron hole scavenger in a liquid carrier having dispersed therein negatively charged colloidal dielectric particles;

reacting the silver ions with the halide ions in the presence of the dielectric particles and the electron hole scavenger to form silver halide coatings completely covering individual dielectric particles; and

exposing the liquid carrier to light to change the silver halide coatings to metallic silver coatings.

- 70. A method according to claim 69, wherein the exposing step includes the step of exposing the liquid carrier to ultraviolet light to form silver metallic coatings of a selected, uniform thickness on individual dielectric particles.
- 71. A method according to claim 70, wherein the providing step includes the steps of:

providing the liquid carrier with a source of an initial, given concentration of the halide ions; and

WO 91/06036 PCT/US90/06009

-47-

- providing the liquid carrier with a source of an initial concentration of silver ions greater than said given concentration.
 - 72. A method according to claim 71, wherein said initial concentration of silver ions is about 5 times said given concentration.
 - 73. A method according to claim 72, wherein said given concentration is about 1×10^{-4} molar.
 - 74. A method according to claim 69, wherein:
- the reacting step includes the step of reacting the silver ions with the halide ions to form metal halide coatings of a preselected, uniform thickness on individual dielectric particles; and

the exposing step includes the step of exposing the liquid carrier to light to change substantially all of the metal halide on individual particles to metallic silver to form metallic silver coatings of said preselected, uniform thickness on the particles.

- 75. The process according to Claim 69 wherein the light is ultraviolet light.
- 76. The process according to Claim 69 wherein the electron hole scavanger is EDTA.
- 77. The process according to Claim 69 wherein a growth stabilizer is additinally present.
- 78. The process according to Claim 77 wherein the growth stabilizer is SDS.
 - 79. The process according to Claim 69 wherein the source of metal ions is silver nitrate, the source of halide ion is sodium bromide ant the dielectric particles is silica manoparticles.

30

-48-

80. A method of forming metal coated dielectric particles, comprising the steps of:

providing a liquid carrier having dispersed therein dielectric particles having silver halide on the surfaces thereof;

changing at least a portion of the silver halide on individual particles to metallic silver;

introducing metal ions into the liquid carrier; and forming metal coatings on the particles from the metal ions, and including the step of using the metallic silver on the particles as a catalyst to accelerate the formation of the metal coatings.

81. A method according to claim 80, wherein:
the providing step includes the step of providing
the liquid carrier with dielectric particles completely covered with silver halide coatings;

the changing step includes the step of changing only a minute portion of the silver halide on individual particles to metallic silver, wherein silver halide coatings remain substantially completely covering individual particles; and

the forming step includes the step of forming the metal coatings over the silver halide coatings.

82. A method according to claim 80, wherein:
the providing step includes the step of providing the liquid carrier with dielectric particles completely covered with silver halide coatings;

the changing step includes the step of changing substantially all of the silver halide on individual particles to metallic silver to form metallic silver coatings completely covering individual particles; and

PCT/US90/06009

- the forming step includes the step of forming the metal coatings over the metallic silver coatings.
 - 83. A method of forming silver coated dielectric particles, comprising the steps of:
- providing a source of silver ions in a liquid carrier having dispersed therein charged colloidal dielectric particles;

heating the liquid carrier;

providing a liquid carrier having particles

dispersed therein; and

introducing $K_2S_2O_8$ and NaOH into the liquid carrier.

- 84. A method according to claim 83, wherein the heating step includes the step of heating the liquid carrier to about 90°C.
 - 85. A method according to claim 83, wherein:
 the providing step includes the step of providing
 the liquid carrier with a given initial concentration of
 silver ions; and
- the introducing step includes the step of introducing into the initial concentration of the reducing agent about one-tenth said given concentration.
 - 86. A method of forming polymer coatings over a particle comprising the steps of:
- providing a liquid carrier having particles dispersed therein;

introducing a monomer into the liquid carrier;
 introducing a sodium salt of the monomer into the
liquid carrier;

introducing a polymerization initiator into the liquid carrier, wherein the monomer forms a polymer coating over the particles.

- 1 87. A method according to claim 86, further including the step of maintaining the temperature of the liquid carrier between about 64 and 66°C.
- 88. A method according to claim 87, further including the step of terminating the reaction by introducing a reducing agent into the liquid carrier.
 - 89. A method according to claim 88, wherein the particles include dielectric cores.
- 90. A method according to claim 89, wherein the particles further include metal coatings disposed over the dielectric cores.
 - 91. A method according to claim 89, wherein the particles further include silver halide coatings disposed over the dielectric cores.
- 92. A nanoparticles comprising:
 - a dielectric core; and
 - a silver halide coating over the dielectric core.
 - 93. A nanoparticle according to claim 92, further comprising a polymer coating over the silver halide coating.
- 94. A nanoparticle according to claim 92, further comprising a metal layer disposed between the dielectric core and the silver halide coating.
 - 95. A nanoparticle according to claim 94, further comprising a layer of a polymeric material disposed between the metal layer and the silver halide coating.
 - 96. A nanoparticle according to claim 95, wherein the dielectric core is a spherically shaped silica particle.
 - 97. A nanoparticle consisting of:
- a core consisting essentially of a dielectric $30^{\,\,\mathrm{material}};$

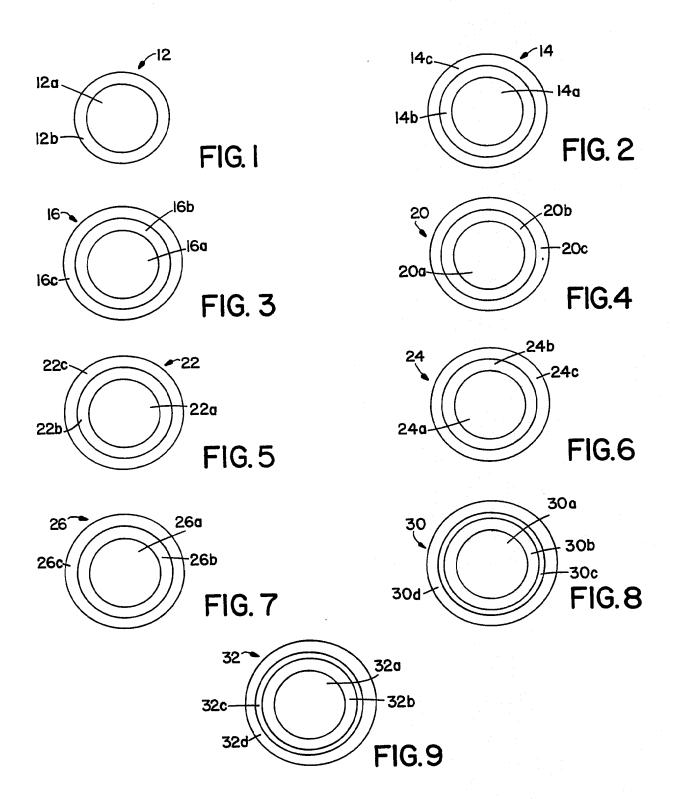
PCT/US90/06009

- a first coating consisting essentially of a metal, and disposed immediately over the core of the particle; second coating consisting essentially of a polymeric material, and disposed immediately over the first coating of the particle; and
 - a third coating consisting essentially of a silver halide, and disposed immediately over the second coating of the particle.
- 98. A nanoparticle according to claim 97, wherein the first coating consists essentially of metallic silver.
 - 99. A nanoparticle comprising:
 - a dielectric core;
 - a metal coating disposed over the dielectric core; and
- a layer of a polymeric material disposed over the metal coating.
 - 100. A nanoparticle according to claim 99, further comprising another metal coating disposed over the layer of polymeric material.
- 101. A nanoparticle according to claim 99, wherein:
 - the dielectric core comprises a spherically shaped silica particle; and

the metal coating comprises metallic silver.

- 102. A nanoparticle consisting of:
- a dielectric nano-core;
- a metal coating disposed over the dielectric core;
- a layer of a polymeric material disposed over the metal coating.

	-)2-
1	103. A nanoparticle according to claim 102, wherein the metal coating consists essentially of metallic silver.
5	104. A nanoparticle comprising: a dielectric core; a layer of silver disposed over the dielectric
	core; and a layer of a metal disposed over the layer of silver.
10	
15	
20	
25	
30	



Patent provided by Sughrue Mion, PLLC - http://www.sughrue.com

PROVIDE SOLUTION INCLUDING DIELECTRIC PARTICLES, SILVER IONS AND A HALIDE

REACT THE HALIDE WITH THE SILVER IONS TO GROW SILVER HALIDE COATINGS OVER THE DIELECTRIC PARTICLES

FIG. 10

PROVIDE SOLUTION INCLUDING DIELECTRIC PARTICLES, METAL IONS, ISOPROPYL AND ACETONE

REMOVE OXYGEN FROM THE SOLUTION

EXPOSE THE SOLUTION TO ULTRAVIOLENT LIGHT TO FORM METAL COATING OVER THE DIELECTRIC PARTICLES

FIG. II

PROVIDE SOLUTION INCLUDING SILVER HALIDE COATED DIELECTRIC PARTICLES

EXPOSE THE SOLUTION TO LIGHT TO CHANGE THE SILVER HALIDE COATINGS TO METAL SILVER COATINGS

FIG. 12

PROVIDE SOLUTION INCLUDING DIELECTRIC PARTICLES, SILVER IONS, A HALIDE AND AN ELECTRON HOLE SCAVENGER

REACT THE HALIDE WITH THE SILVER IONS TO FORM SILVER HALIDE COATINGS OVER THE DIELECTRIC PARTICLES

EXPOSE THE SOLUTION TO ULTRAVIOLENT LIGHT TO CHANGE THE SILVER HALIDE COATINGS TO METAL SILVER COATINGS

FIG. 13

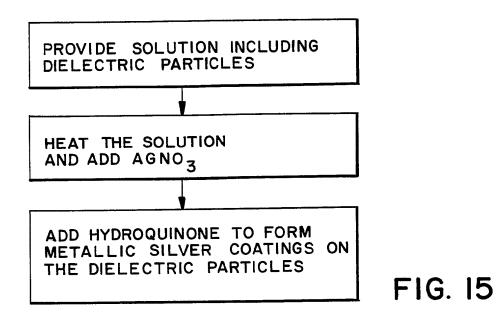
PROVIDE SOLUTION INCLUDING DIELECTRIC PARTICLES

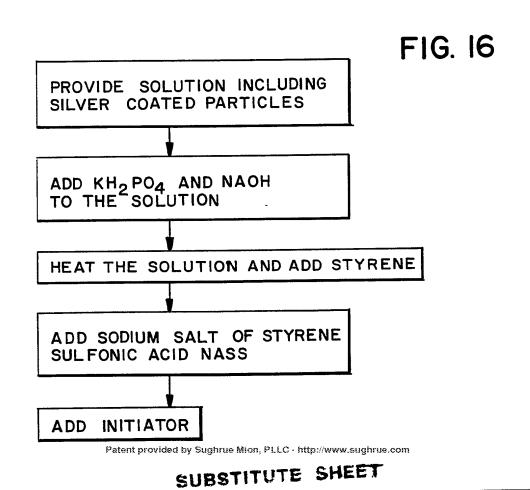
FORM SILVER HALIDE ON THE PARTICLES

EXPOSE THE SOLUTION TO ULTRAVIOLENT LIGHT TO FORM METALLIC SILVER ON THE PARTICLES

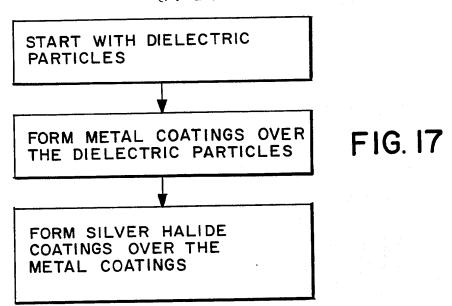
ADD METAL IONS TO THE SOLUTION TO FORM METAL COATING ON THE PARTICLES

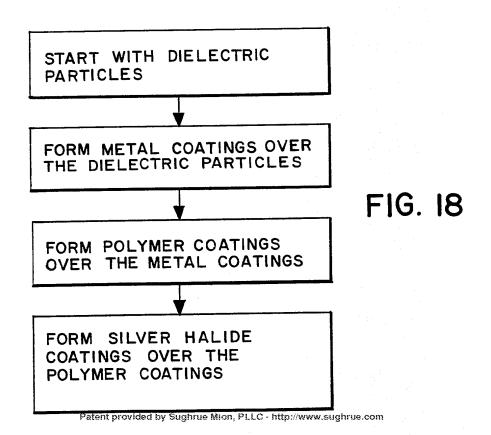
FIG. 14





5/10





CHECTITUTE SHEET

6/10

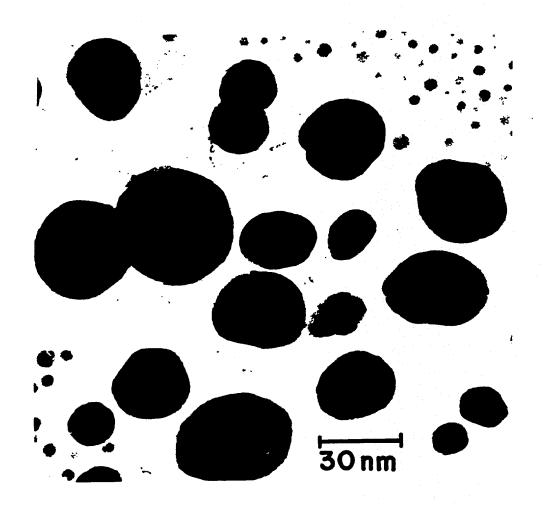


FIG.19

7/10

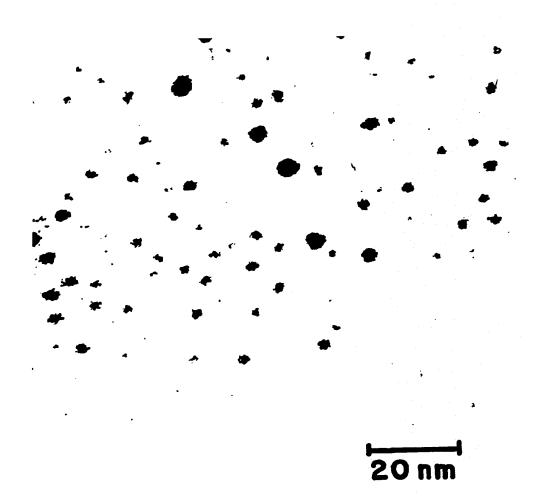
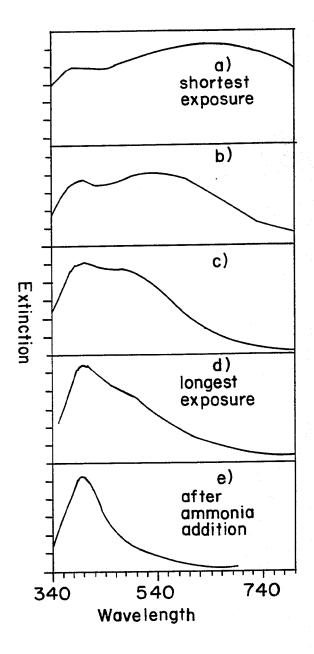


FIG. 20

FIG. 21



Patent provided by Sughrue Mion, PLLC - http://www.sughrue.com

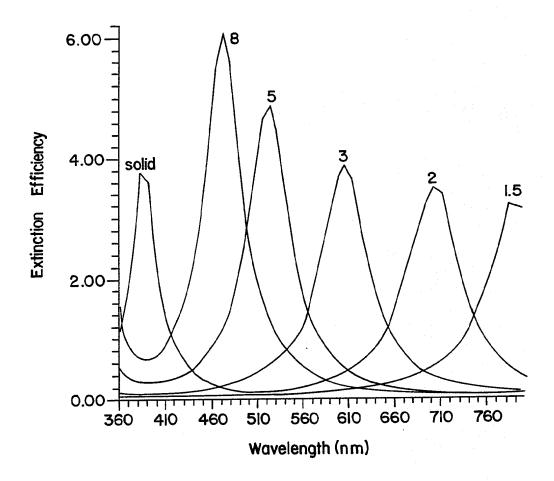


FIG. 22

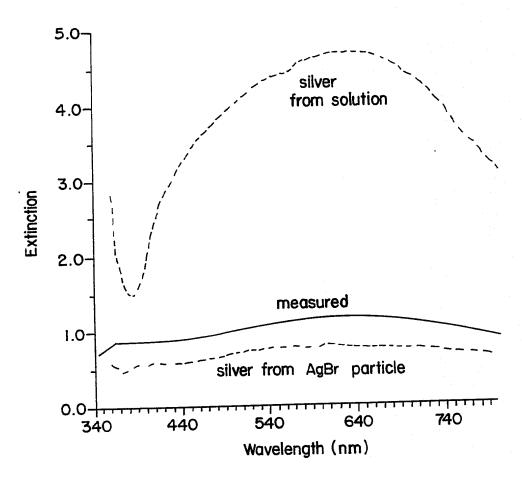


FIG.23

INTERNATIONAL SEARCH REPORT

			International Application No. PCT	r/US90/06009				
I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) 6								
According to International Patent Classification (IPC) or to both National Classification and IPC IPC (5): G03C 1/00, 1/72; B05D 5/12, 7/00; B32B 5/16,9/00, 15/02 U.S. CL. 430/138,567; 427/105,217,221; 428/402.24,403								
II. FIELDS SEARCHED								
Minimum Documentation Searched 7								
Classification	on System		Classification Symbols					
U.S. 427/105, 217, 221 428/402.24, 403,		430/138, 567, 631, 63 427/105, 217, 221 428/402.24, 403, 404	, 406 , 407					
			are Included in the Fields Searched ⁸					
		ONSIDERED TO BE RELEVANT 9	(4)	Relevant to Claim No. 13				
Category *	Citat	ion of Document, ¹¹ with indication, where appro	opriate, of the relevant passages :-	Relevant to Claim No.				
Y	US, A	A, 1,557,234 (BECHHOLD column 1, lines 23-24 a) 13 OCTOBER 1925 and 28.	1-9,35-48, 69-104				
Y	US, A	A, 3,257,315 (PALL) 21 column 2, lines 65-66.	JUNE 1966	1-9,35-48, 69-104				
Y	US, A	A, 3,660,098 (COOK) 02 column 2, lines 14-40.	MAY 1972	10-34,49-68				
Y	US, A	A, 3,854,952 (KENNEY) : column 1, lines 31-57.	17 DECEMBER 1974	1-9,35-48, 69-104				
Y	US, 2 24 J	A, 4,070,190 (FRIEDRICANUARY 1978; See Examp	H ET AL) les.	1-9,35-48, 69-104				
Y	US, 2	A, 4,130,506 (COLLOER ECEMBER 1978; See colu	ET AL) mn 1, lines 42-57.	1-9,35-48, 69-104				
Y	US, A	A, 4,310,045 (SMILLIE) column 1, lines 45-59.	12 JANUARY 1982	1-9,35-48, 69-104				
Y	US, 2 27 JU	A, 4,341,863 (BORRELLI JLY 1982; See Example.	ET AL)	10-34,49-68				
"A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date a cannot be considered." "L" document which may throw doubts on priority claim's or which is cited to establish the publication date of an ericitation or other special reason (as specified) "Y" document of particular relevance or specified or cannot be considered."								
International Searching Authority Signature of Authorized Officer ISA/US Hoa V. Le								
	101	-,	поа V. Бе					

Form PGT/ISA/210 (second sheet) (Rev.11-87)

III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)						
Category *	Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claim No				
Y	US, A, 4,359,525 (GERBER) 16 NOVEMBER 1982 See Example.	10-34,49-68				
Y	US, A, 4,724,167 (EVANS ET AL) 09 FEBRUARY 1988; See Example.	1-9,35-48, 69-104				
Y	US, A, 4,781,940 (DENTON, JR.) 01 NOVEMBER 1988; See column 1, lines 49-59.	1-9,35-48, 69-104				
		-				

FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET					
	4				
V. OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCH	ABLE 1				
This international search report has not been established in respect of certain claims unde		ns:			
1. Claim numbers . because they relate to subject matter 12 not required to be	earched by this Authority, namely:				
2. Claim numbers , because they relate to parts of the international application	hat do not comply with the prescribed re	enuire-			
2. Claim numbers , because they relate to parts of the international application ments to such an extent that no meaningful international search can be carried out to	3, specifically:				
3. Claim numbers, pecause they are dependent claims not drafted in accordan	ce with the second and third sentences of				
PCT Rule 6.4(a).					
VI. OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING 2		<u></u>			
This International Searching Authority found multiple inventions in this international appli					
I. Claims 1-9, 35-48, 69-79, drawn to	a microcapsule,				
classified in Class 430 Subclass 138	· ethod of coating a				
metal onto a substrate, classified i	n Class 427 Subcla	SS			
217. 1. As all required additional search fees were timely paid by the applicant, this internation	(See Attachment)				
of the international application. Telephone Practice					
 As only some of the required additional search fees were timely paid by the applications claims of the international application for which fees were paid, specifically claims. 	nt, this international search report cover ims:	rs only			
those trains of the international application for which loop not party opening, on					
3. No required additional search fees were timely paid by the applicant. Consequently, the invention first mentioned in the claims; it is covered by claim numbers:	his international search report is restric	cted to			
the invention mot monature as a second of					
	About And and Constitution And and	4:4 55			
 As all searchable claims could be searched without effort justifying an additional fee invite payment of any additional fee. 	, the international Searching Authority o	aia not			
Remark on Protest					
The additional search fees were accompanied by applicant's protest.					
No protest accompanied the payment of additional search fees.					

Form PCT/ISA/210 (supplemental sheet (2) (Rev. 11-87)

Con't. from Form PCT/ISA/210 supplement sheet 2:

The invention of Group I, claims 1-9, 35-48, 69-104, relates to a method of making a microcapsule and the microcapsule made by such method.

The invention of Group II, claims 10-34 and 49-68, drawn to a method of coating a metal onto a substrate.

A metal coating material for a substrate as claimed in claim 10 can be different from silver or silver halide core of a particle as claimed in claim 1. Applicant has not provided any evidence to demonstrate a single inventive concept as required by PCT Rule 13.1.